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10-09-02

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 112740-513
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In Re Application Of: Bernd Stilling	<b>COPY OF PAPERS ORIGINALLY FILED</b>
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Serial No. 10/072,057	Filing Date February 8, 2002	Examiner	Group Art Unit
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Title: **METHOD OF SETTING UP A CONNECTION IN AT LEAST ONE OPTICAL WDM TRANSMISSION SYSTEM**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-513

In Re Application: Bernd Stilling

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.

10/072,057

Filing Date

February 8, 2002

Examiner

Group Art Unit

**METHOD OF SETTING UP A CONNECTION IN AT LEAST ONE OPTICAL WDM****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
Sept. 4, 2002 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20221.

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by  
deposit account.

Signature

William E. Vaughan (Reg. No. 39,056)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690

Dated: September 4, 2002

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
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Applicant: Bernd Stilling  
Appl. No.: 10/072,057  
Filed: February 8, 2002  
Title: METHOD OF SETTING UP A CONNECTION IN AT LEAST ONE OPTICAL  
WDM TRANSMISSION SYSTEM  
Art Unit:  
Examiner:  
Docket No.: 112740-513

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicant requests that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,506,712	4-9-96	Sasayama et al.
5,623,356	4-22-97	Kaminow et al.

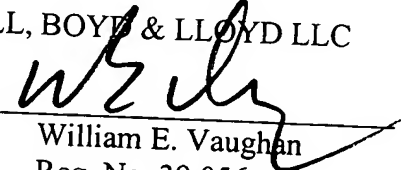
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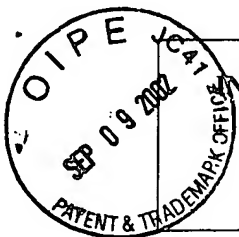
Applicant looks forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

  
William E. Vaughan  
Reg. No. 39,056  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
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**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 112740-513	Application No. 10/072,057
Applicant Stilling	
Filing Date 2-8-02	Group

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,506,712	4-9-96	Sasayama et al.			
	5,623,356	4-22-97	Kaminow et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner's Initials	

Examiner:

Date Considered:

\*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.